PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

INTERNATIONAL APPLICATION POBLISI	TED (UN	DER THE TATEM COOPERATION	IREALI (FCI)	
(51) International Patent Classification 6:		(1	1) International Publication Number:	WO 99/33643	
B29D 22/00, 23/00, B32B 27/08	A1	(4:	3) International Publication Date:	8 July 1999 (08.07.99)	
			(81) Designated States: AU, BR, CA, CN, ID, JP, KR, SG, VN, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).		
(30) Priority Data: 08/998,148 24 December 1997 (24.12.9)	7) l	US	Published With international search report.		
(71) Applicant: MOBIL OIL CORPORATION [US/US Gallows Road, Fairfax, VA 22037 (US).	S]; 32:	25			
(72) Inventor: PEET, Robert, G.; 44 Sunset Boulevard, NY 14534-2143 (US).	Pittsfo	rd,			
(74) Agents: DELMENDO, Romulo, H. et al.; Mobil Oil tion, 3225 Gallows Road, Fairfax, VA 22037 (US)		ra-			
(54) Title: SYNDIOTACTIC POLYPROPYLENE MULT	TLAYI	ER I	PACKAGING FILM	_	
(57) Abstract					
The present invention relates to a biaxially oriented multilayer film structure having (i) a core substrate of high density polyethylene; and (ii) an additional layer of syndiotactic polypropylene on at least one surface of the core substrate. Optionally, the film of the present invention includes at least one heat sealable layer on the outer surface of the additional layer.					
			·		
•					

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GB	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Paso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	ாட	Israet	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Vict Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		•
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	u	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

SYNDIOTACTIC POLYPROPYLENE MULTILAYER PACKAGING FILM

The present invention is directed to a biaxially oriented multilayer film, and particularly to a multilayer film having a core substrate of high density polyethylene.

5

10

Polymeric films are widely used in many industrial applications. One particularly important application is the food packaging industry. Films employed in the food packaging industry are chosen to provide barrier characteristics necessary for proper food containment. Such barrier characteristics include water vapor barrier, oxygen and gas barrier, as well as flavor and aroma barrier properties.

High density polyethylene (HDPE) is a polymer commonly employed in the manufacture of films used in the food packaging industry. In the case of multilayer films, high density polyethylene is commonly used in the base or core layer. Often, barrier coatings, heat sealable layers, and/or layers with additional functionalities are applied on the surfaces of the HDPE layer to provide improved barrier sealant and/or other properties. For example, U.S. Patent No. 5,500,283 to Kirk et al. discloses a biaxially oriented film made with a core layer of HDPE coated with a conventional coating such as polyvinylidene chloride polymer, acrylic acid polymer or polyvinyl alcohol polymer.

20

15

U. S. Patent No. 5,346,763 to Balloni et al. is directed to a multilayer film structure composed of a core layer of HDPE having a skin layer of maleic anhydride modified polyethylene on one side and a heat sealable or printable skin layer on the other side.

25

U.S. Patent No. 5,302,442 to O'Brien relates to a film structure made with a thermoplastic film having a heat sealable layer on at least one side thereof. The thermoplastic film can be a blend of HDPE and the heat sealable layer is a blend of terpolymer of ethylene, propylene and butene.

30

U.S. Patent No. 5,558,930 to DiPoto discloses a multilayer film composed of at least a barrier film having thereon at least a sealant layer. The barrier film can be an HDPE polymer

and the sealant layer is a conventional heat sealable thermoplastic material, e.g., ethylene vinyl acetate copolymer, ethylene methyl acrylate polymer, copolymers of ethylene, etc.

HDPE films used in packaging are very thin, and have relatively low tensile strength. Some films would be described as somewhat brittle or "splitty". These tend to break in manufacture and in use on packaging machines and equipment. The addition of the coating or heat sealable layers to the HDPE layer in the above patents does not produce biaxially oriented films having improved tensile properties that do not split during orientation or subsequent usage. This tendency to break hinders the machinability and processability of the films, resulting in increased manufacturing costs and/or films of inferior quality.

The present invention overcomes shortcomings of the prior art and improves the tensile properties of HDPE films as well as manufacturing capability for making biaxially oriented HDPE films.

15

10

5

The present invention is directed to a biaxially oriented multilayer film structure having (I) a core substrate of high density polyethylene (HDPE); and (ii) an additional layer of syndiotactic polypropylene on at least one side of the core substrate.

20

25

In one preferred embodiment, the film of the present invention includes at least one heat sealable layer on an outer surface of one or both of the additional syndiotactic polypropylene layers. The heat sealable layer can be composed of any conventional polymeric sealant material known in the art such as ethylene vinyl acetate copolymers, ethylene methyl acrylate copolymers, butene copolymers with ethylene, hexene copolymers with ethylene, octene copolymers with ethylene, ethylene acrylic acid copolymers, ethylene methacrylic acid copolymers, hexene-butene copolymers, ionomers, acid modified ethylene vinyl acetate copolymers, anhydride modified ethylene vinyl acetate copolymers, medium density polyethylenes, low density polyethylenes and mixtures thereof.

30

The core, additional syndiotactic polypropylene layer(s), and heat sealable layers can optionally include conventional additives. Examples of the additives include, but are not limited to, antiblocking agents, antistatic agents, anti-fogging agents and slip agents.

As a result of this invention there is advantageously provided a multilayer film exhibiting improved tensile properties.

The film of the present invention exhibits reduced water vapor transmission (WVTR) as well as other improved barrier properties and dead-fold.

The film of the present invention is heat stable and can advantageously be processed at high temperatures without undergoing degradation.

10

15

20

25

5

The film of the present invention also exhibits a high degree of processability, resulting in better quality film and reduced manufacturing costs, and good machinability.

The present invention is a biaxially oriented multilayer film structure having (I) a core substrate of high density polyethylene (HDPE); and (ii) at least one skin layer of syndiotactic polypropylene on at least one side of the core substrate.

The core substrate of the present invention is a relatively thin layer of HDPE. The thickness of the HDPE core substrate is from 5 microns (0.2 mils) to 254 microns (10 mils), preferably from 12.7 microns (0.5 mils) to 76.2 microns (3 mils), and more preferably from 17.8 microns (0.7 mils) to 63.5 microns (2.5 mils).

The HDPE core substrate exhibits reduced water vapor transmission (WVTR), improved dead-fold, and other properties even when the total film thickness is reduced to less than 25.4 microns (1 mil) (0.001 inch). The high density of the HDPE polymer provides improved stiffness in films. Films with a HDPE polymer core substrate may be used in a wide variety of packaging equipment including vertical form, fill and seal (VFFS), horizontal form, fill and seal (HFFS), and high speed horizontal slug wrapping equipment, among other types of equipment.

30

These packaging machines typically contain mechanical cycles in which the film goes through periods of rapid acceleration and deceleration as the film, progressing through the

WO 99/33643 PCT/US98/26011

4

machine, is started and stopped. The increased MD elongation value of this film allows this film to resist snaps and breaks better than previous films.

The HDPE of the present invention includes polymers made with Ziegler-Natta or

Phillips type catalysts, as well as metallocene catalysts. The HDPE of the present invention is a semicrystalline polymer available in a wide range of molecular weight as indicated by either MI or HLMI (melt index or high-load melt index).

The HDPE core substrate of the present invention can be composed exclusively of a single HDPE resin or a mixture of HDPE resins as disclosed in U.S. Patent No. 4,870,122 issued to Lu, the disclosure of which is incorporated herein in its entirety. Films made with a blend of HDPE resins have shown some benefit in reducing the splittiness of the film, which manifests itself as a tendency of the film to break across the transverse direction (TD) during packaging on vertical, form, fill and seal (VFFS) machines. The blends of the HDPE polymers can include two or more polymers all of which preferably have densities of 0.95 g/cm³ or greater.

The density of the HDPE polymer is in the range from 0.94 to 0.97 g/cm³, preferably from 0.95 to 0.965 g/cm³ as defined by ASTM.

20

10

15

The melting point of HDPE polymer of the present invention, measured by a differential scanning calorimeter (DSC), is in a range from 120 to 150°C, preferably from 125 to 135°C.

The HDPE polymer of the present invention has a melt index of greater than 0.1 to 10.0g/cm³, preferably 0.2 to 5.0g/cm³, and most preferably 0.6 to 2.0g/cm³.

The HDPE polymers of the present invention are also commercially available as Marlex TR-130 from Phillips Chemical Company, M6211 from Lyondell Petrochemicals, Co., and Dow XU 6151.302 from Dow Chemical Co., among others.

30

The HDPE core substrate of the present invention has an additional layer of syndiotactic polypropylene on at least one of its surfaces. The additional layer can be applied on the surface

WO 99/33643 PCT/US98/26011 5

of the core substrate by any manner known in the art. Preferably, the additional syndiotactic polypropylene layer can be coextruded with the core substrate. Two additional layers can also be simultaneously coextruded on both sides of the core substrate. The addition of the syndiotactic polypropylene layer to the core substrate layer improves tensile properties of the resultant film. This in turn improves processability in the films allowing high production rates and high rates in packaging machines.

Syndiotactic polypropylenes have been found to have properties quite different from those of isotactic polypropylenes due to their chain microstructure and their crystallization properties. Syndiotactic polypropylenes of the present invention have a large portion of the pendant methyl groups on alternating sides of the polymer chain backbone. Isotactic polypropylenes have long chain segments in which the pendant methyl groups appear primarily on the same side of the polymer chain backbone.

10

15

20

25

30

The syndiotactic polypropylenes of the present invention can be produced by any manner known in the art and are commercially available. In general these syndiotactic polyolefins are typically made with activated cyclopentadienyl transition metal catalysts as described in U.S. Patent No. 5,340,917 to Eckeman et al., the disclosure of which is incorporated herein in its entirety. These catalysts usually consist of two parts, the first part being a substituted or unsubstituted cyclopentadienyl transition metal complex, a substituted or unsubstituted indenyl transition metal complex, or substituted or unsubstituted fluorenyl transition metal complex, or other similarly functional species, and the second component currently being an alumoxane, preferably a methyl alumoxane, or a non-coordinating anion. The syndiotactic polypropylene for use in this invention is not limited to any specific catalyst complex and/or activator, however.

Syndiotactic polypropylene of the present invention is a polypropylene having high syndiotacticity. The syndiotactic pentad fraction of the syndiotactic polypropylene, measured by ¹³C-NMR, is from 0.55 to 0.98, preferably 0.70 to 0.98, and most preferably 0.75 to 0.90.

The melting point of the syndiotactic polypropylene of the present invention is from 100°C to 170°C, preferably from 110°C to 160°C, more preferably from 120°C to 150°C.

The melt flow of the syndiotactic polypropylene is from 0.5 to 15 g/10 min, preferably from 1.5 to 10 g/10 min, most preferably from 1.5 to 5 g/10min.

The syndiotactic polypropylene suitable for this invention can include small amounts of ethylene copolymerized with the propylene. The ethylene content can be from 0.1 to 10%, preferably from 0.1% to 1% and most preferably from 0.1% to 0.3%.

An example of a suitable syndiotactic polypropylene is, but is not limited to, EOD 95-10 02, from Fina Oil & Chemical Company.

The syndiotactic polypropylene layer of the present invention has a thickness ranging from 0.25 microns (0.01 mils) to 6.4 microns (0.25 mils), preferably from 0.5 microns (0.02 mils) to 2 microns (0.08 mils), and more preferably from 0.5 microns (0.02 mils) to 1.3 microns (0.05 mils).

The film of the present invention optionally includes at least one heat sealable layer on the outer surface of the additional syndiotactic polypropylene layer(s). The heat sealable layer can be composed of any conventional polymeric material known in the art such as ethylene vinyl acetate copolymers, ethylene methyl acrylate copolymers, butene copolymers with ethylene, hexene copolymers with ethylene, octene copolymers with ethylene, ethylene acrylic acid copolymers, ethylene methacrylic acid copolymers, hexene-butene copolymers, ionomers, acid modified ethylene vinyl acetate copolymers, anhydride modified ethylene vinyl acetate copolymers, medium density polyethylenes, low density polyethylenes and mixtures thereof.

25

30

5

15

20

The heat sealable layer can be applied on the outer surface of the additional syndiotactic polypropylene layer(s) by any manner known in the art. Preferably, the heat sealable layer can be coextruded with the core substrate and additional layer(s). Two heat sealable layers can also be simultaneously coextruded on both outer surfaces of the additional layers. Heat sealable layers may be coated on the outer surfaces of the additional layer(s).

WO 99/33643 7

PCT/US98/26011

The addition of a heat sealable layer to the outer surface of the additional syndiotactic polypropylene layer(s) can further improve the water and other barrier properties of the resultant film. The outer surface layers may also be formulated with slip packages to provide better machinability of the film in packaging equipment.

5

The heat sealable layer of the present invention has a thickness ranging from 0.13 microns (0.005 mils) to 3.8 microns (0.15 mils), preferably from 0.25 microns (0.01 mils) to 2 microns (0.08 mils), and more preferably from 0.25 mcirons (0.01 mils) to 1.3 microns (0.05 mils).

10

In order to further improve certain properties of the resultant film, effective amounts of additives such as antiblocking agents, antistatic agents, or slip agents may be blended in the base layer, additional syndiotactic polypropylene layer(s), or coating layer(s).

15

Suitable antiblocking agents include silica, talc, clay, sodium aluminum silicate, and conventional organic and inorganic antiblocks.

Suitable antistatic agents include alkali alkane sulfonates and essentially straight-chain, saturated aliphatic tertiary amines.

20

Suitable slip agents include aliphatic acid amides, aliphatic acid esters, waxes, metallic soaps and polydimethylsiloxanes.

25

30

The film of the present invention may be prepared employing commercially available systems for coextruding resins. As mentioned, the HDPE core substrate is coextruded with an additional layer of syndiotactic polypropylene on at least one of its surfaces. The polymers can be brought to the molten state and coextruded from a conventional extruder through a flat sheet die, the melt streams either being combined in an adaptor prior to entering the die or in suitable internal channels before being extruded from the die. After leaving the die orifice, the multilayer film structure is quenched. The film of the present invention is then biaxially oriented. After orientation, the edges of the film can be trimmed and the film wound onto a core.

WO 99/33643 PCT/US98/26011

8

The resultant film of the present invention is biaxially oriented. The resultant film is stretched from 1.1 times to 6 times, preferably from 1.2 times to 2 times in the machine direction (MD), and from 2 times to 12 times in the transverse direction (TD).

The resultant film of the present invention has a thickness ranging from 7.6 microns (0.3 mils) to 254 microns (10 mils), preferably from 12.7 microns (0.5 mils) to 76.2 microns (3 mils), and more preferably from 17.8 microns (0.7 mils) to 63.5 microns (2.5 mils). The preferred resultant film is biaxially oriented.

The following examples further illustrate the present invention.

5

10

15

20

Example 1 below is a comparative example illustrating the properties of prior art film. Example 2 illustrates the improved and unexpected properties exhibited by the films of the present invention.

EXAMPLE 1

COMPARATIVE EXAMPLE

An HDPE resin (M6211) having a melt index of 1.1 and, a density of 0.96 was obtained from Lyondell Petrochemicals Co. of Houston, Texas. This was made into a film of 27.9 microns (1.1 mils) thickness. The film was oriented 1.2 times MD and 9 times TD. The WVTR and oxygen barrier were measured and are set out below.

9

SAMPLE NO.	1
WVTR-g/645 cm ² , 24hr, 100°F, 90%RH (ASTM D 1249-89)	0.325 normalized to 25.4 microns (1 mil) thickness
TO ² cc/645cm ² /24hr (ASTM D 3985-81)	148 normalized to 25.4 microns (1 mil) thickness
Tensile Properties (MD elongation)	521%

EXAMPLE 2 FILM OF THE PRESENT INVENTION

A three layer biaxially oriented film of Sample 2 having a thickness of 29.2 microns (1.15 mils) was prepared by coextrusion. The two outside layers were skin layers of syndiotactic polypropylene (EOD 95-02 obtained from Fina Oil & Chemical Co.). The core substrate layer was an HDPE resin (M6211) having a melt index of 1.1 and a density of 0.96, obtained from Lyondell Petrochemicals Co. of Houston, Texas.

The ABA extrudate was quenched, reheated, and stretched 1.2 times in the machine direction. Subsequently, the MD oriented base sheet was stretched 9 times in the transverse direction.

5

10

WO 99/33643 PCT/US98/26011

SAMPLE NO.	2
WVTR-g/645cm ² , 24hr, 100 °F, 90%RH (ASTM D 1249-89)	0.31 normalized to 25.4 microns (1 mil) thickness.
TO ² cc/645cm ² /24hr (ASTM D 3985-81)	134 normalized to 25.4 microns (1 mil) thickness.
Tensile Properties (MD elongation)	628%

As demonstrated above, the film of the present invention exhibits better tensile properties, particularly MD elongation prior to break, as well as better water and oxygen barrier properties than the conventional film.

5

10

While there have been described what are presently believed to be the preferred embodiments of the invention, those skilled in the art will realize that various changes and modifications may be made to the invention without departing from the spirit of such invention. All such changes and modifications which fall within the scope of the invention are therefore intended to be claimed.

CLAIMS:

- 1. A biaxially oriented multilayer film structure, comprising:
 - (i) a core substrate having two surfaces, said substrate comprising a high density polyethylene; and
- (ii) an additional layer on at least one surface of said core substrate, said additional layer comprising a syndiotactic polypropylene.
- 2. The biaxially oriented multilayer film of Claim 1, wherein said substrate layer further comprises an additive selected from the group consisting of antiblocking agents, antistatic agents, and slip agents.
- 3. The biaxially oriented multilayer film of Claim 1, wherein said additional syndiotactic polypropylene layer further comprising an additive selected from the group consisting of antiblocking agents, antistatic agents, and slip agents.

15

5

10

- 4. The biaxially oriented multilayer film of Claim 1 further comprising at least one heat sealable layer on the outer surface of said additional layer on said core substrate.
- 5. The biaxially oriented multilayer film of Claim 4, wherein said heat sealable layer comprising a polymeric material selected from the group consisting of ethylene vinyl acetate copolymers, ethylene methyl acrylate copolymers, butene copolymers of polyethylene, hexene copolymers of polyethylene, octene copolymers of polyethylene, ethylene acrylic acid copolymers, ethylene methacrylic acid copolymers, hexene-butene copolymers, ionomers, acid modified ethylene vinyl acetate copolymers, anhydride modified ethylene vinyl acetate
 25 copolymers, medium density polyethylenes, low density polyethylenes and mixtures thereof.
 - 6. The biaxially oriented multilayer film of Claim 4, wherein said heat sealable layer further comprises an additive selected from the group consisting of antiblocking agents, antistatic agents, and slip agents.

INTERNATIONAL SEARCH REPORT

International application No. PCT/US98/26011

A. CLASSIFICATION OF SUBJECT MATTER IPC(6) : B29D 22/00, 23/00; B32B 27/08						
US CL : 428/35.7, 515, 516	US CL : 428/35.7, 515, 516					
According to International Patent Classification (IPC) or to bot	h national classification and IPC	<u></u>				
B. FIELDS SEARCHED Minimum documentation searched (classification system follow	ad by alassification anabala)					
	ed by classification symbols)					
U.S. : 428/35.7, 515, 516, 910						
Documentation searched other than minimum documentation to the	ne extent that such documents are included	in the fields searched				
Electronic data base consulted during the international search (name of data base and, where practicable	e, search terms used)				
APS, Derwent						
C. DOCUMENTS CONSIDERED TO BE RELEVANT						
Category* Citation of document, with indication, where	appropriate, of the relevant passages	Relevant to claim No.				
X US 4,855,187 A (OSGOOD JR.	ET AL) 08 August 1989	1,4				
(08.08.89), column 2, lines 34-40, col	•					
Y 8.	8.					
X US 5,527,608 A (KEMP-PATCHE	1,4					
(18.06.96), column 3, line 58 - column	n 4, line 5, column 4, lines 19-					
Y 38, column 6, lines 55-57.		2, 3, 5, 6				
X US 4,252,851 A (LANSBURY I	ET AL) 24 February 1981	1				
(24.02.81) abstract, column 3, line 6						
Y		2, 3				
V IIC 4 570 216 A (OT ALICON FT AL	\ 25 March 1006 (25 02 06)	4				
X US 4,578,316 A (CLAUSON ET AL abstract, column 2, lines 13-22.) 23 March 1986 (23.03.86),	1				
Y abstract, Column 2, Inics 13-22.		2, 3				
<u></u>						
X Further documents are listed in the continuation of Box C. See patent family annex.						
* Special categories of cited documents: "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand						
"A" document defining the general state of the art which is not considered the principle or theory underlying the invention to be of particular relevance						
"B" earlier document published on or after the international filing date "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step						
"L" document which may throw doubts on priority claim(s) or which is sited to establish the publication date of another citation or other "Y" document of particular relevance: the claimed invention cannot be						
special reason (as specified) "Y" document of perticular relavance; the claimed invention cannot be considered to inventive stap when the document is "O" document referring to an oral disclosure, use, exhibition or other combined with one or more other such documents, such combination						
means being obvious to a person skilled in the art						
the priority date claimed						
Date of the actual completion of the international search Date of mailing of the international search report						
08 MARCH 1999 14 APR 1999						
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Authorized officers like the flow						
BOX PCT KEVIN P KRIJER SKOT						
Washington, D.C. 20231 Facsimile No. (703) 305-3230	Telephone No. (703) 305-0025					

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US98/26011

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT				
Category*	Citation of document, with indication, where appropriate, of the relev	ant passages	Relevant to claim No.	
A	US 5,223,346 A (LU) 29 June 1993 (29.06.93) abstrac 2, lines 17-23.	t, column	1-6	
A	US 5,254,394 A (BOTHE ET AL) 19 October 1993 (19 abstract.	9.10.93),	1-3	
	·			
	·			
	·			
		ļ		
		ł		